

Make trading transactions and follow every call on the move - mobile recording solution puts enterprises one step ahead

Financial organizations face growing needs to record all trading conversations—even when they occur via mobile devices. Obtaining an all-encompassed mobile recording solution that meets compliance requirements is one of the keys to the efficiency of business transactions, and thus, the success of enterprises.



To record mobile phone conversations relating to trading transactions is a relatively new requirement. Therefore, the need to extend existing fixed-line recording to cover mobile devices is giving the banking and finance segment a lot to think about.

The focus on compliance is stronger than ever with record fines, and compliance officers having personal liability if a system is not in place.

Meeting the challenge is no simple, straightforward task. Regulatory bodies across the world require full trade reconstructions across all channels, both voice and data, to establish the facts relative to a given trade.

While requirements are driven by diverse regulators around the world, the goal is consistent: to be able to record, index and archive conversations occurring off the trading floor through mobile devices.

The first step is to record all media (voice, text and more), store and archive – applying specific rules for retention. The second step is to analyze all of the data and align conversation threads to specific deals. And the third is to collate and present, on request, all relevant data to regulating bodies within a short time frame.

Trading institutions and enterprises need to maintain control over multiple integrations, delivering consistent services globally, and managing compliance risks in a transparent, cost-effective and timely manner.

Addressing such needs and requirements, 3 Hong Kong partners with technology and service leader IPC, which provides trading communications solutions to financial services across the world, to bring in its global mobile recording platform.

Thanks to a network-based service that end users can't deactivate, the platform can deliver a seamless user experience with no impact on call quality or delay in making and receiving calls.

The IPC global coverage and platform allow smooth integration to your on-site recording solutions. Flexible and reliable recording storage is also available within IPC data centers.

Suitable for risk management, many organizations, including top-tier banks and trading institutions, have subscribed for this regulatory compliance technology.

Key features of

3HK Enterprise Mobile Recording

- » A complete voice and SMS recording solution with flexible storage options (cloud or on-premise logger)
- » Meet compliance requirements of financial institutions
- » Enforced recording for every call and SMS
- » Capable of local and roaming recording
- » Carrier-grade voice quality and reliability
- » Work with all phone models
- » Stored records are traceable from centralized console



Call ID	Call Date	Call Time	Call Duration	Call Status	Call Type
001	2017-10-09	10:00:00	00:01:23	Completed	Voice
002	2017-10-09	10:01:15	00:00:45	Completed	Voice
003	2017-10-09	10:02:30	00:02:10	Completed	Voice
004	2017-10-09	10:03:45	00:01:50	Completed	Voice
005	2017-10-09	10:04:00	00:00:30	Completed	Voice
006	2017-10-09	10:05:15	00:01:10	Completed	Voice
007	2017-10-09	10:06:30	00:00:55	Completed	Voice
008	2017-10-09	10:07:45	00:01:30	Completed	Voice
009	2017-10-09	10:08:00	00:00:20	Completed	Voice
010	2017-10-09	10:09:15	00:01:05	Completed	Voice

The all-encompassed control platform features a wide range of functions that enables users to record phone calls; search, play and export recordings; as well as manage call logs in various ways for individual and group, under different policies.

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