

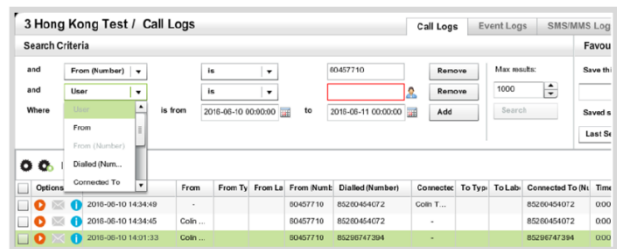
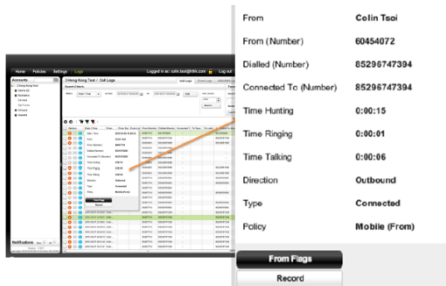
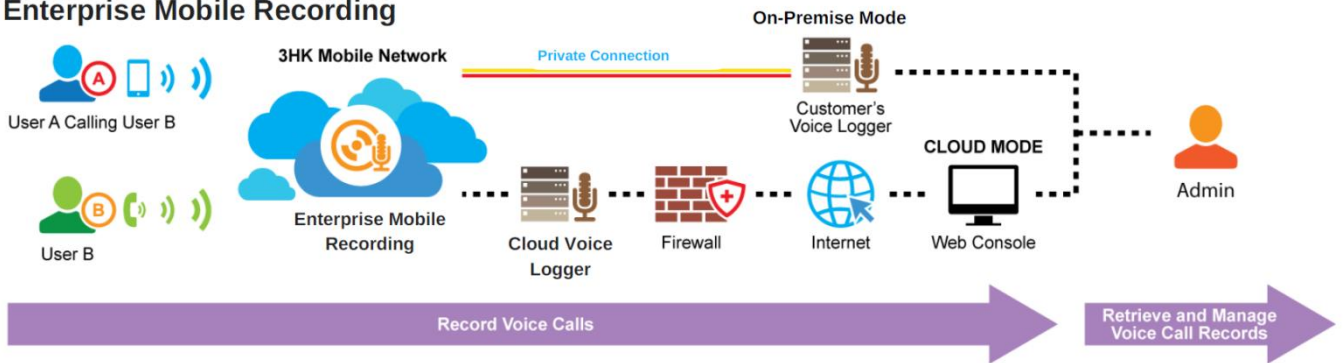
Enterprise Mobile Recording

Compliance Management

Many jobs require working on the move, so do brokers. To respond to fast-moving market while interacting with customers and comply to regulations, a compliance-grade mobile recording solution is desired. Our Enterprise Mobile Recording solution is trusted by leading financial institutions, helping their mobile devices meet their compliance requirements, thus mobilizing their staff from the trading floor to anywhere in the globe.

Solution Overview

Enterprise Mobile Recording



Benefits

- Meet the compliance with internal and external regulations, such as MiFID II in the EU, Dodd Frank in the USA and FCA in the UK
- GDPR compliant*
- Enable business round the clock with enforced mobile call recording working both locally and globally^
- Seamless user experience as the solution works on all phone models with carrier-grade call quality and reliability
- Enable audit trail with centralized console

Features

- Enforced recording of all local and roaming calls^
- Device agnostic solution
- Flexible deployment options including on premise and cloud
- All calls are encrypted at rest and in-transit
- Centralized console to trace all call records

* Subject to customer manipulation of Enterprise Mobile Recording solution

^ Subject to roaming partner support



Visit us for more solutions
<https://web.three.com.hk/3business/solution.html>



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Enterprise Mobile Recording

Compliance Management

Compliance & Regulatory Trend

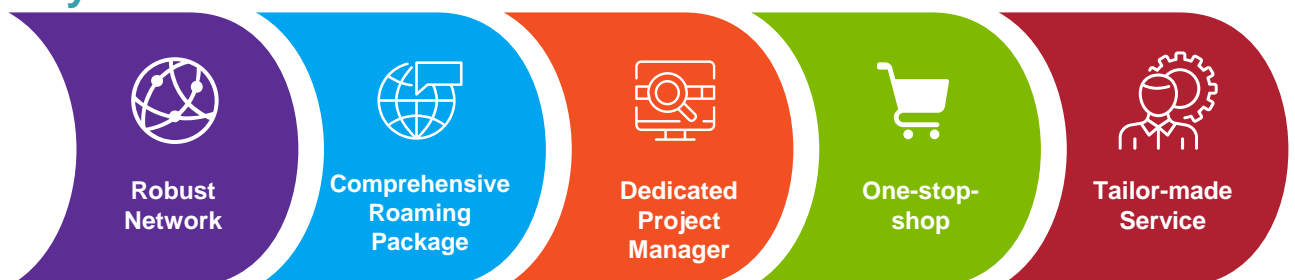
Year	Country / Region	Compliance & Regulatory
2009	Norway	Financial Supervisory Authority
2011	United Kingdom	Financial Conduct Authority (FCA)
2013	United State of America	Dodd-Frank Act
2016	Switzerland	Financial Market Authority
	Japan	Financial Market Supervisory Authority
	Australia	Australian Securities and Investments Commission (ASIC)
2018	European Union	Markets in Financial Instrutments Directive II (MiFID II)

Differentiation

	3 Hong Kong Enterprise Mobile Recording	1 st Generation Mobile Recording	App-based Mobile Recording
Forced recording	√	√	x
Call announcement	√	√	x
Forced recording while roaming	√	x*	x*
Forced recording for all-call forward scenarios	√	x*	x*
Full-feature audit portal			
<ul style="list-style-type: none"> Logging & playback User management Group management 	<ul style="list-style-type: none"> Search Export Policy setting 	x	x

* Recording bypassed

Why 3Business



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