

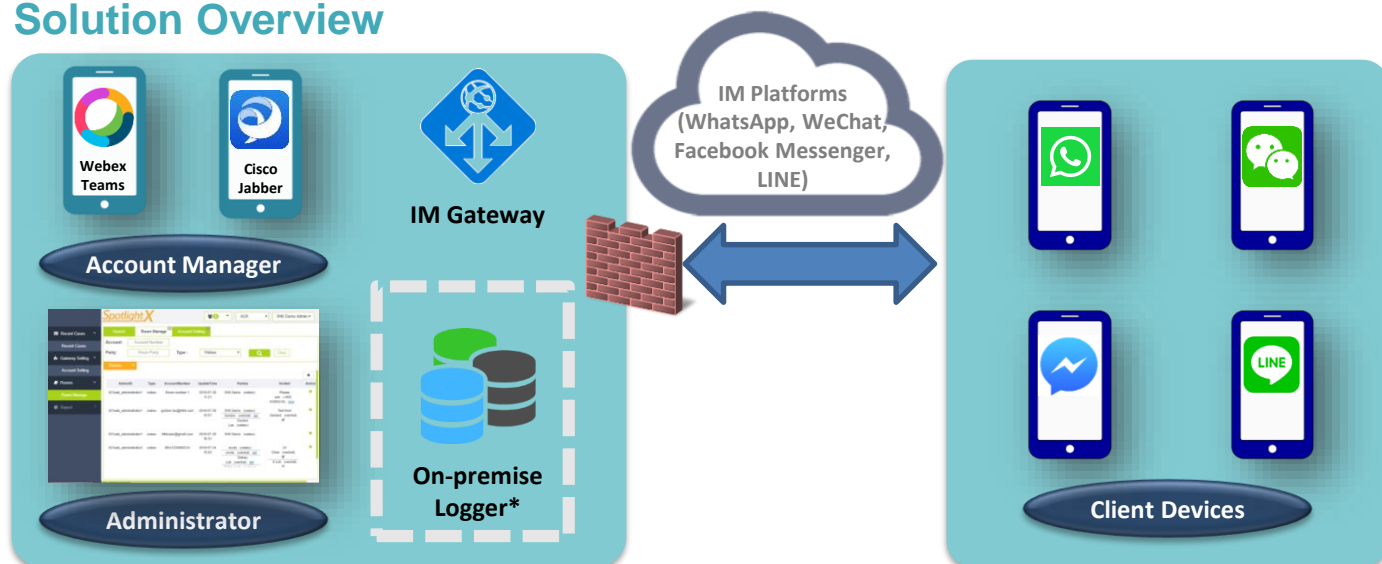
Instant Messaging Archiving

Compliance Management

Public Instant Messaging (IM) services are commonly used for personal and business communications, some may even use for sensitivity like stock trades, personal & corporate data sharing. This aroused concern of privacy, authentication and compliance. Securities and Futures Commission (SFC) of Hong Kong released a Circular, guiding financial institutions to follow the compliance requirements when using IM to communicate with clients and take client orders, meeting requirements of customer authentication, centralized records, compliance procedures, monitoring and data security.



Solution Overview



(*) Cloud option available

Benefits

- Meet the compliance requirements from SFC Circular
- Enable compliant messaging with clients from different countries with multiple IM platforms
- Enable business round the clock with enforced centralized record keeping for using IM applications

Features

- Support integration with popular enterprise IM solutions for user management and audit trail
- Support integration with popular public IM to cater preference of different clients
- Client authentication before being added to IM chatrooms and starting communications
- On-premise and cloud options available for deployment flexibility

SFC Circular – 5 key measures

Centralized Record Keeping

Security & Reliability

Compliance Monitoring

Internal Policies & Procedures

Client Awareness



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